

Drug resistance-associated mutations in antiretroviral treatment-naïve and -experienced patients in Kuwait

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Summary. – The identification of human immunodeficiency virus (HIV) mutations leading to drug resistance enables patient-specific adaptation of the treatment regimen and predicts the risk of transmission of drug-resistant HIV. In this study, we report for the first time the prevalence in Kuwait of non-polymorphic resistance-associated mutations (RAMs) in patients under first-line antiretroviral therapy. Viral RNA was extracted from plasma samples of 64 treatment-naïve (untreated) and 64 treatment-experienced patients. The HIV-1 load was determined by real-time RT-PCR. The protease- and reverse transcriptase-encoding regions were analyzed by subtyping, and for drug resistance. The HIV-1 load at sampling in treatment-naïve patients ranged from 1.61×10^4 to 1.91×10^6 copies/ml, whereas that in treatment-experienced patients ranged from <20 to 8.25×10^4 copies/ml ($p < 0.001$). Ten different HIV-1 subtypes and recombinant forms were found with the predominance of CRF01_AE, B and C. Non-polymorphic mutations associated with resistance to antiretroviral drugs were detected in 8 treatment-naïve patients (12.5%) and 11 treatment-experienced patients (28.9%; $p = 0.46$). RAMs detected in treatment-naïve patients are known to be associated with resistance to non-nucleoside reverse transcriptase inhibitors (NNRTIs). Among treatment-experienced patients, five patients (13.1%) had mutations associated with high-level resistance to nucleoside reverse transcriptase inhibitors (NRTIs), 4 patients (10.5%) had mutations associated with resistance to NNRTIs, one patient (2.6%) had resistance to both NRTIs and NNRTIs, and one patient (2.6%) had resistance to both protease inhibitors (PIs) and NNRTIs. These results necessitate efforts to be made for reducing emergence of resistance-associated mutations in treated patients, and highlight the need for continuous monitoring of drug resistance patterns in Kuwait.

Keywords: HIV-1; genotyping; mutations; drug resistance; surveillance; Kuwait

Introduction

The goal of antiretroviral therapy (ART) is to maintain a durable suppression of HIV replication (Walensky *et al.*,

2006). However, the emergence of drug resistance-associated mutations (RAMs) in the HIV *pol* gene is the main cause of therapy failure, which may lead to accelerated HIV progression and transmission of drugs-resistant strains to susceptible individuals (Little *et al.*, 2002; Richman *et al.*, 2009). In Kuwait, the prevalence of HIV-1 infection is low. A cumulative total of 252 Kuwaiti HIV cases had been reported till the end of 2013, with 30 to 50 new cases diagnosed annually (UNAIDS, 2014). In an attempt to prevent HIV transmission, pre-marital HIV screening became mandatory in Kuwait in 2009, and all expatriates seeking for residency permit are screened for HIV antibodies. Moreover, antiretroviral treatment is offered free of charge for all infected people. During the study period, the first-line therapeutic regimen in Kuwait

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Abbreviations: 3TC = Lamivudine; ABC = Abacavir; ART = antiretroviral; ddI = Didanosine; EFV = Efavirenz; ETR = Etravirine; FTC = Emtricitabine; HIV = human immunodeficiency virus; INSTI = integrase strand transfer inhibitor; NNRTI = non-nucleoside reverse transcriptase inhibitor; NRTI = nucleoside reverse transcriptase inhibitor; NVP = Nevirapine; PI = protease inhibitor; RAM = resistance-associated mutation; RPV = Rilpivirine; RT-PCR = reverse transcription-PCR; TDF = Tenofovir; WHO = World Health Organization

was a NNRTI-based regimen. PI- and INSTI-based regimens were offered as second and third-line ART, respectively.

In a pilot study conducted on only 28 treatment-naïve Kuwaiti patients, a total of 10 different subtypes and recombinant forms were detected with predominance of subtypes B, C and CRF01_AE. In that study, two RAMs were detected, of which one was associated with low- to intermediate-level resistance to NNRTIs (Chehadeh *et al.*, 2015). However, drug resistance is more likely to occur wherever HIV therapy is applied, and continued therapy in the context of treatment failure will often lead to the emergence and accumulation of additional resistance mutations (Preston and Dougherty, 1996; Roberts *et al.*, 1998). This applies particularly to drugs with low genetic barriers to resistance (Hirsch *et al.*, 2008). Moreover, drug resistance is likely to occur when individuals do not adhere to anti-HIV drugs (Emamzadeh-Fard *et al.*, 2012).

Antiretroviral treatment failure occurs in about 20% of people with HIV receiving first-line ART in low-resource settings. A second-line ART was offered to more than a half-million people in these settings in 2015, and the World Health Organization (WHO) projects that number will increase steadily over the next 10 years (Zhang *et al.*, 2009; WHO, 2012; Haas and Keiser, 2016). To address concerns in Kuwait about the development of drug resistance during ART, the prevalence of RAMs in patients under first-line antiretroviral treatment was determined in this study, and compared to that in treatment-naïve patients.

Materials and Methods

Study population. From June 2011 through March 2016, plasma samples were collected from 64 treatment-naïve HIV-infected patients, and 64 patients under more than 6 months NNRTI-based regimen consisting of efavirenz (EFV) or nevirapine (NVP) with a combination of two NRTIs, lamivudine (3TC) or emtricitabine (FTC) + tenofovir (TDF) or abacavir (ABC). Patients with evidence of non-adherence to ART were excluded from this study. The ethical permission on this research study was granted by the Ethical Decision Committee of the Research Administration, Faculty of Medicine, Kuwait University, and by the Standing Committee for Coordination of Health and Medical Research, Ministry of Health.

HIV RNA concentrations. HIV-1 RNA concentrations in the plasma samples of recently diagnosed HIV-1 patients were determined by real-time PCR using the COBAS AmpliPrep/COBAS TaqMan HIV-1 test v2.0 (Roche Diagnostic Systems, Branchburg, NJ, USA), according to the manufacturer's instructions.

HIV-1 subtype classification. The isolation of viral RNA from clinical samples was performed using the automated MagNa Pure LC 2.0 system (Roche). Amplification and sequencing of 918-bp of the *pol* gene were performed using the TRUGENE HIV-1 Genotyp-

ing Assay, on the OpenGene[®] automated DNA Sequencing System (Siemens Healthcare Diagnostics, Norwood, MA, USA). When genotyping assay failed, in-house amplification and sequencing of a ~1300-bp fragment of the *pol* gene by nested RT-PCR was carried out as described previously (WHO, 2010). The obtained nucleotide sequences consisting of the *pol* gene were searched against the NCBI GenBank database using Basic Local Alignment Search Tool (BLAST), and then aligned with all the HIV reference sequences available in the HIV sequence database (<http://www.hiv.lanl.gov/>) using ClustalW method in the Molecular Evolutionary Genetics Analysis (MEGA) software version 4.02 (Tamura *et al.*, 2007). Phylogenetic trees were reconstructed using Bayesian and neighbor-joining methods, with evolutionary distances computed using the Kimura 2-parameter method. A bootstrap test with 1,000 replicates was used to estimate the confidence of branching patterns in the trees. The accession number of each HIV reference sequence was added to the taxon label in the phylogenetic tree. HIV-1 sequences resulting from this study were uploaded to GenBank database (Acc. Nos. HF937222 to HF937249, KM588925 to KM588956, and KX155586 to KX155647). The recombinant HIV-1 form was analyzed by performing boot scan analysis with a sliding window of 200 bp, incremental steps of 20 bases, and the Kimura two-parameter model using Simplot 3.5.1 software (Johns Hopkins University, Baltimore, USA). The boot scan analysis was performed first with only sequences from pure subtypes, then with all sequences including recombinant forms available in the HIV sequence database. All subtypes and CRFs (Circulating Recombinant Forms) were confirmed using the REGA HIV subtyping tool (<http://www.bioafrica.net/rega-genotype/html/subtypinghiv.html>).

Drug resistance assessment. HIV-1 drug resistance was determined by sequencing the reverse transcriptase and protease regions on the HIV-1 *pol* gene. Prevalence of drug resistance was estimated using the World Health Organization (WHO) surveillance drug-resistance mutations list (Bennett *et al.*, 2009) and Stanford University genotypic resistance interpretation algorithm (<http://hivdb.stanford.edu/>) (Liu and Shafer, 2006).

Statistical analysis. The two-tailed Mann-Whitney *U*-test was used to assess the difference in HIV-1 load between two groups. A 2x2 contingency table was generated to compare different proportions using the Chi-square test and Fischer's exact test, as appropriate. The statistical analysis was performed using the IBM SPSS Statistics for Windows, version 23 (IBM Corp., Armonk, N.Y., USA).

Results

Patient baseline characteristics

During the study period, 64 patients were enrolled as newly diagnosed with HIV-1 infection, and 64 patients as previously diagnosed patients under antiretroviral therapy. The baseline characteristics of treatment-naïve and -expe-

Table 1. Baseline characteristics of treatment-naïve HIV patients

| | | n (%) |
|----------------------------|----------------------------------|------------------------|
| Gender | Male | 50 (78.1) |
| | Female | 14 (21.9) |
| Age median in year (range) | | 33.5 (2 days-70 years) |
| Nationality | Kuwaiti | 47 (73.4) |
| | Non-Kuwaiti | 17 (26.6) |
| Viral load (copies/ml) | ≤10 ⁴ | 0 (0) |
| | 10 ⁴ -10 ⁵ | 36 (56.25) |
| | ≥10 ⁵ | 28 (43.75) |

Table 2. Baseline characteristics of treatment-experienced HIV patients

| | | n (%) |
|-----------------------------|----------------------------------|--------------------------|
| Gender | Male | 40 (62.5) |
| | Female | 24 (37.5) |
| Age median in years (range) | | 33.5 (4 months-67 years) |
| Nationality | Kuwaiti | 55 (85.9) |
| | Non-Kuwaiti | 9 (14.1) |
| Viral load (copies/ml) | ≤10 ⁴ | 45 (70.3) |
| | 10 ⁴ -10 ⁵ | 19 (29.7) |
| | ≥10 ⁵ | 0 (0) |

Table 3. HIV-1 subtypes in treatment-naïve and -experienced patients

| | Treatment-naïve patients n (%) | Treatment-experienced patients n (%) | Total n (%) |
|--------------|-----------------------------------|---|------------------|
| A | 5 (7.8) | 2 (5.3) | 7 (6.9) |
| B | 13 (20.3) | 5 (13.1) | 18 (17.6) |
| C | 17 (26.6) | 8 (21.1) | 25 (24.5) |
| G | 0 (0) | 1 (2.6) | 1 (0.98) |
| CRF01_AE | 19 (29.7) | 16 (42.1) | 35 (34.3) |
| CRF02_AG | 5 (7.8) | 5 (13.1) | 10 (9.8) |
| CRF32_06A1 | 1 (1.6) | 0 (0) | 1 (0.98) |
| CRF35_AD | 2 (3.1) | 1 (2.6) | 3 (2.9) |
| CRF50_A1D | 1 (1.6) | 0 (0) | 1 (0.98) |
| A1, B | 1 (1.6) | 0 (0) | 1 (0.98) |
| Total | 64 (100) | 38 (100) | 102 (100) |

rienced patients are given in Tables 1 and 2, respectively. Their age ranged from 2 days to 70 years, with a median age of 33.5 years. Most patients were male Kuwaiti. The median viral load at sampling in treatment-naïve patients (1.08×10^5 RNA copies/ml; range: 1.61×10^4 to 1.91×10^6 copies/ml) was significantly higher than that in treatment-experienced patients (3.76×10^2 RNA copies/ml; range: <20 to 8.25×10^4 copies/ml; $p < 0.001$).

Subtype distribution

Complete sequence information was obtained for only 38 (59%) treatment-experienced patients and for all 64 treatment-naïve patients. The samples that failed genotyping assay had a viral load < 100 copies/ml. According to the sequence analysis of the *pol* gene, CRF01_AE was the most prevalent subtype (34.3%), followed by subtype C (24.5%) and subtype B (17.6%) (Table 3). Assignment of HIV-1 subtype was confirmed by phylogenetic analysis (Fig. 1). Additional subtypes detected were subtype A (6.9%) and subtype G (~1%). Sixteen patients had recombinant form of HIV-1 different from CRF01_AE, of whom 10 had CRF02_AG, and 3 had CRF35_AD, as confirmed by bootscanning analysis (Table 3).

Drug resistance profile

A total of 8 treatment-naïve patients (12.5%) and 11 treatment-experienced patients (28.9%) had non-polymorphic mutations associated with resistance to antiretroviral drugs ($p = 0.46$; Table 4). Seven treatment-naïve patients (10.9%) and 5 treatment-experienced patients (13.1%) had a single RAM, while one treatment-naïve patient (1.6%) and 6 treatment-experienced patients (15.8%) had more than one RAM. Non-polymorphic RAMs detected in treatment-naïve patients are known to confer resistance to NNRTIs; one RAM, K103N, associated with high-level resistance to nevirapine (NVP) and efavirenz (EFV), was detected in 4 treatment-naïve patients (6.25%). The A98G mutation associated with low-level resistance to EFV, etravirine (ETR) and rilpivirine (RPV), and intermediate resistance to NVP was detected in 5 treatment-naïve patients. Non-polymorphic mutations associated with resistance to NRTIs and PIs were not detected in treatment-naïve patients.

Among treatment-experienced patients, 5 patients (13.1%) had mutations associated with resistance to NRTIs, 4 patients (10.5%) had mutations associated with resistance to NNRTIs, one patient (2.6%) had mutations associated with resistance to both NRTIs and NNRTIs, and one patient (2.6%) had mutations associated with resistance to both PIs and NNRTIs. Among treatment-experienced patients with mutations associated with resistance to NRTIs, 5 (13.1%) had the M184V mutation associated with high-level resistance to lamivudine (3TC) and emtricitabine (FTC), and low-level resistance to didanosine (ddI) and abacavir (ABC). Among treatment-experienced patients with mutations associated with resistance to NNRTIs, two patients had the K103N mutation; one patient had the K103N and Y181C mutations associated with high-level resistance to NVP and EFV, and intermediate resistance to ETR and RPV; one patient had the A98G and K101E mutations associated with high-level resistance to NVP, intermediate resistance to RPV, and low-

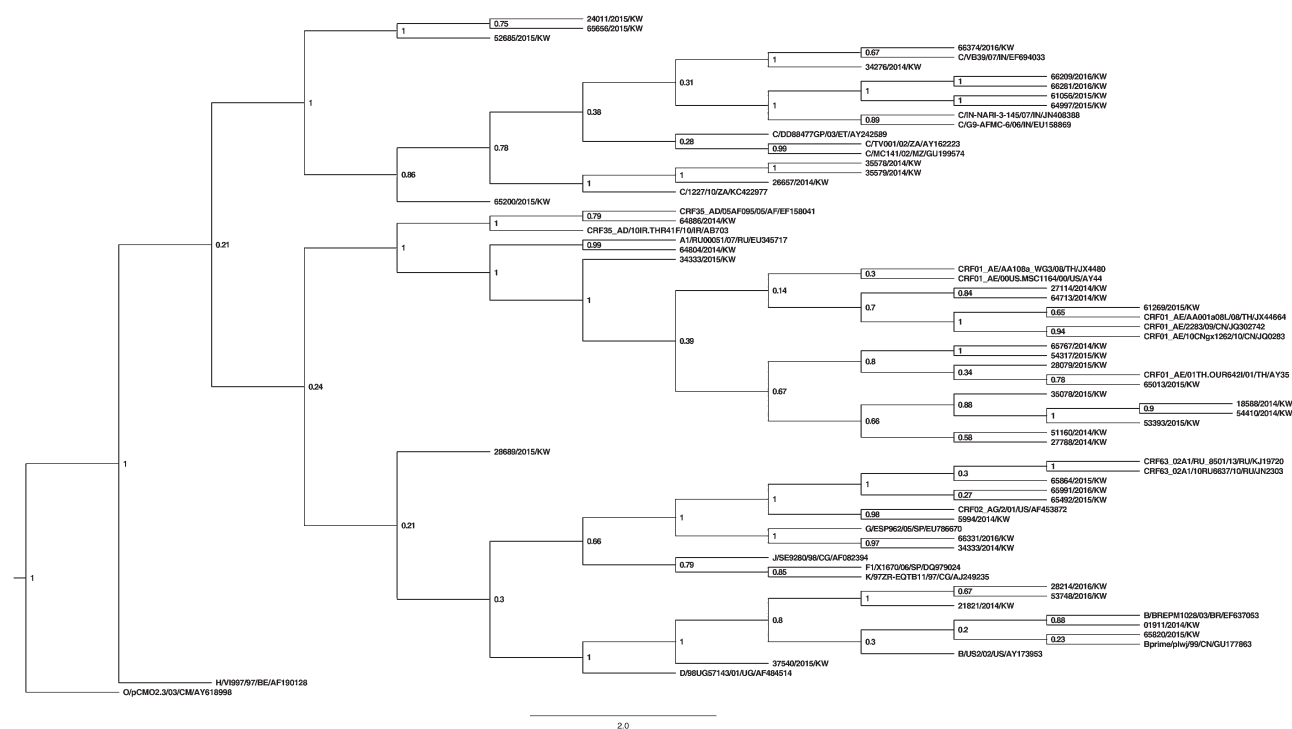


Fig. 1

Phylogenetic analysis of a partial fragment of the HIV *pol* gene

The posterior values of replicate trees, in which the associated taxa clustered together in the Bayesian method are shown next to the branches. The evolutionary distances are in the units of the number of base substitutions per site. Each HIV reference sequence is labeled with its corresponding subtype followed by strain's name, sampling year, two-letter country code, and GenBank Acc. No. For clarity of the figure, only sequences from some patients with pure HIV-1 subtype and patients with CRF01_AE subtype were included.

Table 4. Resistance-associated mutations (RAMs) in HIV-1 patients

| Treatment-naïve patients | | | | |
|--------------------------------|------------------|------------------|----------------------------|-------------------------|
| RAM(s) | Resistance to | Resistance level | HIV-1 subtype | n (%) |
| A98G | NNRTI(s) | Potential low | CRF01_AE | 4 (6.25) |
| K103N | NNRTI(s) | High | A, CRF35_AD, CRF32_06A1 | 3 (4.7) |
| A98G+K103N | NNRTI(s) | High | CRF01_AE | 1 (1.6) |
| | | | | Total: 8 (12.5) |
| Treatment-experienced patients | | | | |
| RAM(s) | Resistance to | Resistance level | HIV-1 subtype | n (%) |
| K70T | NRTI(s) | Potential low | C | 1 (2.6) |
| K103N | NNRTI(s) | High | A, CRF01_AE | 2 (5.3) |
| M184V | NRTI(s) | High | CRF01_AE | 2 (5.3) |
| L10F+V179T | PI(s)+NNRTI(s) | Potential low | CRF02_AG | 1 (2.6) |
| A98G+K101E | NNRTI(s) | High | CRF01_AE | 1 (2.6) |
| M41L+M184V | NRTI(s) | High | B | 1 (2.6) |
| K70E+M184V | NRTI(s) | High | CRF01_AE | 1 (2.6) |
| K103N+Y181C | NNRTI(s) | High | CRF01_AE | 1 (2.6) |
| M184V+K219Q+ | | | | |
| V108I+E138K+ | NRTI(s)+NNRTI(s) | High | B | 1 (2.6) |
| F227L+M230L | | | | |
| | | | | Total: 11 (28.9) |

level resistance to EFV and ETR; one patient had the V179T mutation potentially associated with low-level resistance to all available NNRTIs. One patient with HIV-1 subtype B infection had multiple RAMs (M184V, K219Q, V108I, E138K, F227L and M230L) known to confer high-level resistance to 3TC, FTC, EFV, NVP and RPV, intermediate resistance to ETR, and low-level resistance to ABC and ddI.

The mutation profiles were consistent with treatment status, with the K103N and Y181C mutations identified in patients treated with EFV or NVP, and the M184V mutation found in patients treated with 3TC. However, in one patient on NNRTI-based regimen with no history of previous exposure to PIs, two RAMs were detected, L10F potentially conferring low-level resistance to the protease inhibitors, fosamprenavir/ritonavir (FPV/r), indinavir/r (IDV/r) and nelfinavir (NFV), and V179T potentially associated with low-level resistance to all available NNRTIs. All treatment-experienced patients with high-level resistance to RTIs ($n = 9$, 23.7%) had detectable plasma HIV-1 RNA level with median viral load of 4.69×10^3 RNA copies/ml (range, 2.85×10^2 to 6.49×10^4 RNA copies/ml).

Discussion

In line with our previous observations, CRF01_AE, C and B were the most prevalent subtypes detected in Kuwait (Chehadeh *et al.*, 2015). This agrees with other findings reported in the Gulf region concerning the circulation of pure subtypes A, B, C, and of recombinant forms such as CRF35_AD (Sarrami-Forooshani *et al.*, 2006; Badreddine *et al.*, 2007; Alzahrani, 2008; Jahanbakhsh *et al.*, 2013; Baesi *et al.*, 2014a). Of interest, around 10% of the patients had HIV-1 subtype CRF02_AG that is prevalent in West Africa and Russia with global prevalence of ~5% (Taylor *et al.*, 2008). Moreover, one treatment-naïve Kuwaiti patient had the subtype CRF32_06A1 that was described in Estonia (Adojaan *et al.*, 2005).

In the group of treatment-naïve patients, 12.5% of patients had HIV-1 sequence mutations (A98G, K103N) that are known to be associated with reduced susceptibility to NNRTIs. A98G is a non-polymorphic accessory mutation that reduces NVP susceptibility by ~5-fold and EFV susceptibility by ~3-fold (Shafer *et al.*, 2001; Vingerhoets *et al.*, 2010). In combination with mutations at positions 90, 98, 100, 106, 181 and 190, A98G mutation causes resistance to etravirine (Hirsch *et al.*, 2008; Johnson *et al.*, 2013). However, four patients (6.25%) had K103N, a non-polymorphic mutation that causes ~50-fold reduced susceptibility to NVP, and ~20-fold reduced susceptibility to EFV (Johnson *et al.*, 2005; Shafer, 2006). In a neighbor country like Iran, the frequency of RAMs in treatment-naïve patients to any class of antiretroviral drugs was 15%, which included mutations

to NRTIs (10%), and NNRTIs (5%) (Memarnejadian *et al.*, 2015). In other countries with dominant Muslim population like Turkey, 10% of treatment-naïve patients exhibited mutations associated with resistance to NRTIs (5.2%), NNRTIs (3.1%), and PIs (2.1%) (Yalçınkaya and Köse, 2014).

In the group of treatment-experienced patients, 28.9% had mutations known to be associated with resistance to antiretroviral drugs. About half of them had more than one primary mutation, which may result in greater reductions in susceptibility and virologic response than do single mutations. M184V was the predominant mutation that is associated with high-level resistance to 3TC and FTC, and low-level resistance to ddI and ABC. However, M184V is not contraindication to continued treatment with 3TC or FTC because it increases susceptibility to zidovudine (AZT), tenofovir (TDF) and stavudine (d4T), and is associated with clinically significant reductions in HIV-1 replication (Kuritzkes *et al.*, 1996). K103N was the second most common detected mutation; it is known to confer high-level resistance to the first-generation NNRTIs, EFV and NVP, as described above. The presence of K103N alone does not affect the response to second-generation NNRTIs, ETR and RPV; however the accumulation of several mutations will compromise their efficacy (Scherrer *et al.*, 2009; Tudor-Williams *et al.*, 2014). Y181C detected in one treatment-experienced patient is a non-polymorphic mutation selected in patients receiving NVP, ETR and RPV. It reduces susceptibility to NVP, ETR, RPV, and EFV by >50-fold, 5-fold, 3-fold, and 2-fold, respectively. Although Y181C itself reduces EFV susceptibility by only 2-fold, it is associated with a reduced response to an EFV-containing regimen because viruses with this mutation often harbor additional minority variant NNRTI-resistance mutations (Shafer *et al.*, 2001; Johnson *et al.*, 2005). In addition to Y181C mutation, E138K and K101E detected in two different treatment-experienced patients are associated with 2- to 3-fold reduced susceptibility to RPV. They occur commonly in patients receiving rilpivirine. E138K and to a lesser extent K101E usually occur in combination with the NRTI-resistance mutation M184I, which alone does not reduce rilpivirine susceptibility. When M184I is combined with E138K or K101E, rilpivirine susceptibility is reduced about 7-fold and 4.5-fold, respectively (Hu and Kuritzkes, 2011; Xu *et al.*, 2011; Kulkarni *et al.*, 2012; Rimsky *et al.*, 2012).

In patients receiving NNRTI-based regimen, the prevalence of mutations associated with resistance to NRTIs, NNRTIs and PIs, was 15.8%, 13.1% and 2.6%, respectively. In Saudi Arabia, 41% of treatment-experienced patients were reported to have NRTI-resistance mutations, 16% to have NNRTI-resistance mutations, and 13% to have PI-resistance mutations (Jamjoom *et al.*, 2010). In Iran, the prevalence of NRTI-, NNRTI- and PI-resistance mutations was reported to be ~50%, 29% and 6.5%, respectively (Baesi *et al.*, 2014b). Data from neighbor countries are actually too scarce to

evidence sizable discrepancies between results. Our results highlight the necessity of continuous surveillance of drug resistance in patients, thereby reducing the likelihood of treatment regimen failure.

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